

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Carico et al.

Serial No.:

Group Art Unit:

Filed:

Examiner:

For: LIQUID COATING COMPOSITIONS HAVING IMPROVED WHITENESS

Mail Stop Patent Application

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

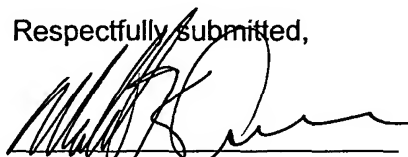
**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT****UNDER 37 CFR 1.97(b)**

In accordance with 37 CFR 1.56, the documents listed on the attached Information Disclosure Statement by Applicant are being brought to the attention of the Examiner for consideration in connection with the examination of the above-identified patent application. Copies of the cited documents are enclosed.

☐ An International Search Report in connection with the corresponding PCT application has been received. A copy is enclosed.

The Information Disclosure Statement submitted herewith is being filed within three months of the filing date of the application or date of entry into the national stage of an international application or before the mailing date of a first Office Action on the merits, whichever event occurs last.

Respectfully submitted,



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18 September 2003

Date

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## CERTIFICATE OF MAILING UNDER 37 CFR 1.10

"EXPRESS MAIL" Mailing Label No.: EF181912793US

Date of Deposit:

September 18, 2003

I hereby certify that this Transmittal of Information Disclosure Statement Under 37 CFR 1.97(b) and the Documents referred to as being enclosed therein are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to Mail Stop Patent Application, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.



Jo Ann White

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Substitute for form 1449  <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>				Attorney Docket Number		71570			
				First Named Inventor		Carico et al.			
				COMPLETE IF KNOWN					
				Application Number					
				Filing Date					
				Group Art Unit					
Sheet	1	of	2	Examiner Name					

U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. <sup>1</sup>	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY
		Number	Kind Code <sup>2</sup> (if known)		
		2,731,476	✓	Albin et al.	01-17-1956
		3,546,008	✓	Shields et al.	12-08-1970
		3,668,276	✓	Reimhofer et al.	06-06-1972
		3,734,874	✓	Kibler et al.	05-22-1973
		3,779,993	✓	Kibler et al.	12-18-1973
		3,828,010	✓	Davis et al.	08-06-1974
		3,918,976	✓	Arai et al.	11-11-1975
		3,994,851	✓	Chang	11-30-1976
		4,233,196	✓	Sublett	11-11-1980
		4,316,940	✓	Thornley	02-23-1982
		4,359,580	✓	Grasso	11-16-1982
		4,420,581	✓	McFarlane et al.	12-13-1983
		4,470,581	✓	Ahrendt et al.	09-11-1984
		4,535,132	✓	Ambrose et al.	08-13-1985
		4,745,174	✓	Pruett et al.	05-17-1988
		4,790,581	✓	Boswell et al.	12-13-1988
		4,804,719	✓	Weaver et al.	02-14-1989
		4,999,418	✓	Krutak et al.	03-12-1991
		5,340,910	✓	Chamberlin et al.	08-23-1994
		5,349,026	✓	Emmons et al.	09-20-1994
		5,371,148	✓	Taylor et al.	12-06-1994
		5,372,864	✓	Weaver et al.	12-13-1994

Examiner Signature		Date Considered	
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup>Unique citation designation number. <sup>2</sup>See attached Kinds of U.S. Patent Documents. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup>Applicant is to place a check mark here if English language Translation is attached.

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				First Named Inventor		Carico et al.			
				COMPLETE IF KNOWN					
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Group Art Unit				Examiner Name					
Sheet	2	of	2						

		5,378,757	✓	Blount, Jr., et al.	01-03-1995
		5,384,377		Weaver et al.	01-24-1995
		5,530,059		Blount, Jr., et al.	06-25-1996
		5,688,899		Strand et al.	11-18-1997

FOREIGN PATENT DOCUMENTS							
Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	T <sup>6</sup>
		Office <sup>3</sup>	Number <sup>4</sup>	Kind Code <sup>5</sup> (if known)			
		EP	0 696 625	A2	Rohm and Haas Company	02-14-1996	
		SU	1198084	A	Rubezhnan Branch of the Voroshilovgrad Machine-Building Institute	12-15-1985	✓

OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author(in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, pages(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>6</sup>
		Resins for Surface Coatings, Vol. 1, p. 127, ed. By P.K.T. Oldring and G. Hayward, SITA Technology, London, UK, 1987	
		Anni Berger-Schunn in Practical Color Measurement, Wiley, NY, pp 39-56 and 91-98 (1994)	

Examiner Signature		Date Considered	
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